

08/15/01 1132 U.S. PTO

08-16-01

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FORM PTO-1082

Express Mail EL 904 935 198 US

PATENT
Atty. Docket No. 33542-2007
Date: August 13, 2001

11000 U.S. PTO
09/929944
08/15/01

UTILITY PATENT APPLICATION TRANSMITTAL and FEE TRANSMITTAL

Box PATENT APPLICATION
COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s): Yoon KIM

For: MEL-FREQUENCY LINEAR PREDICTION SPEECH RECOGNITION APPARATUS AND METHOD

Enclosed are:

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
- ☒ Applicant Claims Small Entity Status
- ☒ Specification, Claims, and Abstract (21 pages)
- ☒ Sheet(s) of drawing (☒ formal, ☐ informal)
- ☒ Form PTO-1595 and an assignment of the invention to: _____
- ☒ A certified copy of Taiwan Application No. 89117296 filed on August 25, 2000 from which priority is claimed in the subject case pursuant to Rule 55b and 35 U.S.C. 119, ☒ will follow.
- ☐ An associate Power of Attorney.
- ☐ Combined Declaration and Power of Attorney.
- ☐ Information Disclosure Statement, Form PTO 1449, and ☐ cited references
- ☐ Request and Certification under 122(b)
- ☒ Postcard

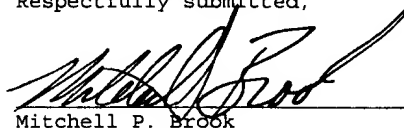
If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)
of prior application No.: _____

Examiner: _____ Group/Art Unit: _____

- ☒ Check in the amount of \$435.00 to cover the filing fee is enclosed.
- ☐ Check in the amount of \$_____ to cover the Assignment Recordation Fee.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0410 of Baker & McKenzie.
 - A duplicate copy of this sheet is enclosed.
 - ☒ Any additional filing fees required under 37 CFR 1.16.
 - ☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Mitchell P. Brook
Registration No. 32,967
c/o BAKER & MCKENZIE
101 West Broadway, 12th Floor
San Diego, California 92101
(619) 236-1441

08/15/01

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11332 U.S. PTO

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 435.00

Complete if Known

Application Number	To be assigned
Filing Date	Filed Herewith
First Named Inventor	Yoon KIM
Examiner Name	To be assigned
Group Art Unit	To be assigned
Attorney Docket No.	33542-2007

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 02-0410
- Deposit Account Name: Baker & McKenzie
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE				
	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	710	201 355	Utility filing fee	355.00
106	320	206 160	Design filing fee	
107	490	207 245	Plant filing fee	
108	710	208 355	Reissue filing fee	
114	150	214 75	Provisional filing fee	
SUBTOTAL (1)				(\$ 355.00

2. EXTRA CLAIM FEES				
	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
103	18	203 9	Claims in excess of 20	
102	80	202 40	Independent claims in excess of 3	
104	270	204 135	Multiple dependent claim, if not paid	
109	80	209 40	** Reissue independent claims over original patent	
110	18	210 9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$ 80.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES				
	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205 65	Surcharge - late filing fee or oath	
127	50	227 25	Surcharge - late provisional filing fee or cover sheet	
139	130	139 130	Non-English specification	
147	2,520	147 2,520	For filing a request for ex parte reexamination	
112	920*	112 920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115	110	215 55	Extension for reply within first month	
116	390	216 195	Extension for reply within second month	
117	890	217 445	Extension for reply within third month	
118	1,390	218 695	Extension for reply within fourth month	
128	1,890	228 945	Extension for reply within fifth month	
119	310	219 155	Notice of Appeal	
120	310	220 155	Filing a brief in support of an appeal	
121	270	221 135	Request for oral hearing	
138	1,510	138 1,510	Petition to institute a public use proceeding	
140	110	240 55	Petition to revive - unavoidable	
141	1,240	241 620	Petition to revive - unintentional	
142	1,240	242 620	Utility issue fee (or reissue)	
143	440	243 220	Design issue fee	
144	600	244 300	Plant issue fee	
122	130	122 130	Petitions to the Commissioner	
123	50	123 50	Petitions related to provisional applications	
126	240	126 240	Submission of Information Disclosure Stmt	
581	40	581 40	Recording each patent assignment per property (times number of properties)	
146	710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279 355	Request for Continued Examination (RCE)	
169	900	169 900	Request for expedited examination of a design application	
Other fee (specify)				
Reduced by Basic Filing Fee Paid				
SUBTOTAL (3)				(\$ 0.00

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Mitchell R. Brook	Registration No. (Attorney/Agent)	32.967
Signature		Telephone	(619) 236-1441
		Date	8/14/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Art Unit: To be assigned
Yoon KIM)	
Serial No.: To be assigned)	Examiner: To be assigned
Filed: Filed herewith)	
For: MEL-FREQUENCY LINEAR)	
PREDICTION SPEECH)	
RECOGNITION APPARATUS AND)	
METHOD)	

San Diego, California 92101
August 15, 2001

BOX PATENT APPLICATION
Commissioner For Patents
Washington, D.C. 20231

CERTIFICATE OF EXPRESS MAILING

Dear Sir:

"Express Mail" mailing label No. EL 904 935 198 US

Date of Deposit: August 15, 2001

I hereby certify that a Utility Patent Application Transmittal, Fee Transmittal, check in the amount of \$435.00 (filing fee), Patent Application including the Specification, Abstract, and Claims (21 pages), Formal Drawings (FIGS. 1-5 on 5 pages) and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to the Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.



Karen M. Cruz